

## *Join Four Distinguished Law Professors*

For a Zoom Discussion, ‘The Financial Advice Landscape in 2020’  
Amidst New SEC, CFP Board and ERISA Standards

*Program explores impact of changes in advice standards*

**What:** Panel discussion of ‘The Financial Advice Landscape in 2020’

**When:** Thursday September 10 from 3:00-4:00 PM ET

**Where:** Zoom link: <https://zoom.us/j/98416665669?pwd=U2ZsN1VYajExM002Rk4veUN2cmQ2QT09>  
Meeting ID: 984 1666 5669; Passcode: 005076

**Who:** **Benjamin P. Edwards**, (Moderator) Associate Professor of Law, University of Nevada, William S. Boyd School of Law, Las Vegas, Nevada  
<https://law.unlv.edu/faculty/benjamin-edwards>

**James D. Cox**, Brainerd Currie Professor of Law, Duke Law School,  
<https://law.duke.edu/fac/cox>

**Donald C. Langvort**, Thomas Aquinas Reynolds Professor of Law, Georgetown law School, <https://www.law.georgetown.edu/faculty/donald-langevoort/>

**Ann M. Lipton**, Michael M. Fleishman Associate Professor in Business Law and Entrepreneurship, Tulane Law School, <https://law.tulane.edu/faculty/full-time/ann-lipton>

**RSVP:** **Darren Fogarty**, [darren@thefiduciaryinstitute.org](mailto:darren@thefiduciaryinstitute.org) with *Law Professor Panel* in the subject line.

**Background** – 2020 has ushered in possibly historic changes to the financial advice landscape. The Securities and Exchange Commission issued Regulation Best Interest along with other rules and interpretations. The Dept. of Labor has proposed a new rule. Private self-regulation has also changed with the CFP Board embracing new standards. These changes raise questions about the future of the Investment Advisers Act of 1940, the Employee Retirement Income Security Act, and previously accepted norms for fiduciary advice. In this program, four leading law professors share their views on these developments and what they mean for financial advice in 2020.

### **Institute for the Fiduciary Standard**

The Institute for the Fiduciary Standard formed in 2011 as a non-profit to provide research, education, and advocacy on the vital role of the fiduciary duties for investors and the capital markets. See [www.thefiduciaryinstitute.org](http://www.thefiduciaryinstitute.org).