

NEWS RELEASE

Monday, July 14, 2015

Institute to Hold 40 Act Panel Discussion: Fiduciary Duty and the Investment Advisers Act of 1940 at 75

Professor Tamar Frankel and former SEC Deputy Director of Investment Management, Robert Plaze, to Head Panel Discussion

Washington DC, July14 – The Institute for the Fiduciary Standard today announced an event to commemorate the 75th anniversary of the Investment Advisers Act of 1940, *Fiduciary Duty and the Investment Advisers Act of 1940 at 75*.

A reception and panel discussion will be held in New York, August 11 from 5.30 to 8.00 PM. The panel of nationally recognized experts on fiduciary duties and the Advisers Act includes:

Introduction: Tamar Frankel, Boston University School of Law

Panelists: Robert E. Plaze, Partner Stroock & Stroock & Lavan, LLP,

Former Deputy Director, Division of Investment Management

Securities & Exchange Commission

David Tittsworth, Counsel, Ropes & Gray, LLP Former CEO, Investment Advisers Association

Ron A Rhoades, Assistant Professor, Western Kentucky University (Invited)

Moderator: Knut A Rostad, President, Institute for the Fiduciary Standard

More information and registration: Darren Fogarty at the Institute: darren@thefiduciaryinstitute.org.

Institute for the Fiduciary Standard

The Institute for the Fiduciary Standard is a non-profit organization formed in Virginia to benefit investors and society by advancing fiduciary principles through research, education and advocacy. For more information: www.thefiduciaryinstitute.org.