

FIDUCIARY SEPTEMBER 2015



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TD Ameritrade Institutional to be Exclusive Sponsor of Institute's Fiduciary September 2015 Program

TD Ameritrade Institutional's Leadership "Stands Out" in Advancing Investor Interests and Fiduciary Advice

WASHINGTON, D.C., Aug. 11, 2015 -- The Institute for the Fiduciary Standard today announced that TD Ameritrade Institutional is the exclusive sponsor of the Institute's *Fiduciary September* 2015, a series of events and activities aimed at highlighting the importance of upholding the fiduciary standard and always acting in the best interest of investors.

Fiduciary September programs aim to highlight the importance of fiduciary principles in preserving investor trust and confidence in our capital markets. The full program will be announced on Sept. 1.

"TD Ameritrade Institutional is a fast friend of investors and a strong advocate for fiduciary advice," said Knut Rostad, president of The Institute for the Fiduciary Standard. "Its industry leadership as a market participant stands out. The Institute is extraordinarily pleased to once again welcome TD Ameritrade Institutional as a sponsor of *Fiduciary September*."

"The Institute for the Fiduciary Standard is a clear voice for true fiduciary advice," said Skip Schweiss, TD Ameritrade Institutional Managing Director of Advisor Advocacy and Industry Affairs. "Its research, education and advocacy have made a difference in Washington, D.C., and the industry. TD Ameritrade Institutional welcomes this opportunity to advance fiduciary principles by supporting the work of The Institute for the Fiduciary Standard."

Fiduciary September

The Institute for the Fiduciary Standard established *Fiduciary September* in 2012, inaugurated with a "Fiduciary Declaration" signed by twelve highly respected finance leaders including Vanguard Founder John Bogle, former Securities and Exchange Commission (SEC) Chair Arthur Levitt and former Federal Reserve Board Chairman Paul Volcker. The Fiduciary



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Declaration urges Congress, the SEC and Department of Labor (DOL) to heighten and extend protections to investors receiving investment advice.

"More than seven years since the global financial crisis, the urgency remains to talk to investors, regulators and advisers and brokers about why fiduciary principles matter so much," said Rostad. "Critics of the DOL proposed rule for five years have argued that fiduciary duties harm investors while conflicted advice somehow benefits them. That simply defies decades of lessons from history, law and logic."

About the Institute for the Fiduciary Standard

The Institute for the Fiduciary Standard was formed as a non-profit organization in 2011 to provide research, education and advocacy on the vital role of the fiduciary standard for investors and the capital markets. For more information, visit www.thefiduciaryinstitute.org.

About TD Ameritrade Institutional

TD Ameritrade Institutional is a leading provider of comprehensive brokerage and custody services to more than 5,000 fee-based, independent registered investment advisors and their clients. Our advanced technology platform, coupled with personal support from our dedicated service teams, allows investment advisors to run their practices more efficiently and effectively while optimizing time with clients. TD Ameritrade Institutional is a division of TD Ameritrade, Inc., a brokerage subsidiary of TD Ameritrade Holding Corporation. Brokerage services provided by TD Ameritrade, Inc., member FINRA/ SIPC. For more information, please visit http://www.tdainstitutional.com.