

Sponsored by



## Please join the Institute for the Fiduciary Standard

### *FIDUCIARY BEST PRACTICES BRIEFING*

Wednesday, September 30, 2015

Investnet

489 Fifth Avenue, 25th Floor . New York, NY 10017

OR, conference call number: 857-232-0159; 626004

The fiduciary debate in federal regulation continues. 20 years ago under the leadership of Chairman Arthur Levitt the SEC produced the Tully Report. The report highlighted important issues that remain unresolved today. Last month Former Chairman Levitt predicted the SEC "Will be locked in conflict on this issue for a long, long time." [http://www.thefiduciaryinstitute.org/wp-content/uploads/2015/09/Arthur\\_Levitt\\_and\\_David\\_Tittsworth\\_on\\_IAA\\_at\\_75.pdf](http://www.thefiduciaryinstitute.org/wp-content/uploads/2015/09/Arthur_Levitt_and_David_Tittsworth_on_IAA_at_75.pdf)

At this briefing, the Institute will release the final Best Practices. Industry leaders will provide a timely, insider's perspective on the fiduciary debate, the Institute's Best Practices and why many fiduciary advisers believe advisers should move on and adopt, uphold, and educate investors on Best Practices which define excellent advisers.

#### **9:00 AM to 9:30 AM: Refreshments**

#### **9:30 AM to 11:00 AM: Fiduciary Best Practices Briefing featuring:**

##### Best Practices in Perspective

John C. (Jack) Bogle, Vanguard Founder

##### Fiduciary Update and Why Investors and Markets Need Best Practices

*Knut A. Rostad*

President of the Institute for the Fiduciary Standard

##### Presentation of the Institute's Advisor Best Practices

*Brian Hamburger*

Counsel to the Best Practices Board and CEO of Market Counsel

##### Industry Response Panel

*Bryan D. Beatty*

Member of the Best Practices Board and Partner at Egan, Berger & Weiner, LLC

*Dave O'Brien*

Chair of the NAPFA Public Policy Committee, O'Brien Financial Planning

*Michael Warszawski*

Moderator of the Chairman's Council, Senior Managing Director at Manchester Capital Management

**RSVP by Monday, September 28 to [darren@thefiduciaryinstitute.org](mailto:darren@thefiduciaryinstitute.org)** For additional information, please contact Knut Rostad at [knut@thefiduciaryinstitute.org](mailto:knut@thefiduciaryinstitute.org)

*TD Ameritrade Institutional, one of the largest providers of custody and other services to registered investment advisers, is the exclusive sponsor of Fiduciary September 2015.*