

Biography of Panel Members, Honored Guests, and Presenters on September 30<sup>th</sup>

**John C. Bogle** is the founder and former CEO of Vanguard, the largest mutual fund company in the world. Mr. Bogle co-chairs the Council of Advisors to the Best practices Board. He has authored multiple best-selling books which are considered classics within the investment community. A proponent of simplicity and common sense solutions, Mr. Bogle has been a long-time supporter of empowering investors to make smart, educated decisions. The Institute's views could not align more perfectly with his vision of a fair, transparent financial system which prioritizes investor welfare above all else.

**Knut A. Rostad** is the President and Founder of the Institute for the Fiduciary Standard. As a former regulatory and compliance officer at an RIA called Rembert Pendleton Jackson, Mr. Rostad is well acquainted with how the financial services industry operates. He has authored dozens of articles, papers, and regulatory comment letters, is regularly cited in media national and industry outlets, and speaks frequently at industry conferences on fiduciary and compliance issues.

**Brian Hamburger** serves as the General Counsel to the Best Practices Board and is the CEO of MarketCounsel, a business and regulatory compliance consulting firm that serves investment advisory firms. Mr. Hamburger has advocated and lobbied members of Congress in support of independent, fee-only advisory firms. Additionally, he has been frequently quoted by journalists and columnists on independent investment advice, the movement of brokers to an advice model, and on general regulatory compliance concerns for RIAs, a testament to how influential he is within the financial services industry.

**Bryan Beatty** is a member of the Best Practices Board and a Partner at Egan, Berger & Weiner, LLC, an independent financial services firm whose focus is on dedicated financial planning. He brings 23 years of experience in the financial services industry while also specializing in all aspects of investment and retirement planning. As a dually registered adviser, Mr. Beatty has been instrumental in offering unique and insightful contributions to the development of Best Practices.

**Dave O'Brien** serves as the Chair of the NAPFA Public Policy Committee. NAPFA is a partner with the Institute on the Best Practices initiative. Mr. O'Brien is the founder of O'Brien Financial Planning, a firm that aids individuals and families with financial planning and investment advisory services. Mr. O'Brien has been featured in Bloomberg Businessweek and quoted in The Wall Street Journal, the New York Times, and the Journal of Financial Planning among other publications. He also speaks at national financial planning events and is a regular contributor to InvestmentNews.

**Michael Warszawski** serves as the Moderator of the Chairman's Council and as the Senior Managing Director at Manchester Capital Management, a fee-only RIA which prides itself on providing un-conflicted and valuable advice to its clients. He has established or directed investment advisory, asset management, and trust businesses in nine different countries, and is a member of the CFA Institute and the YJP CEO Forum. Mr. Warszawski has been both vocal and invaluable in his contributions to the Chairman's Council, and leads by example in his passion for furthering fiduciary principles in the financial services industry.